



# FS SafeOps\_360

## Operational Risk Management Tool

### FS SafeOps\_360 in a nutshell

- Operational Risk Management Tool & Loss Event Database for MFIs and SME banks
- Risk Self-Assessment & Incident Reporting
- Excel-based operation + PowerBI Dashboard
- Live tracking of key performance indicators

### About Frankfurt School

- Full-fledged private university based in Frankfurt am Main / Germany
- Leading business school in Europe
- Bachelor, Master and PhD programmes
- Executive and online education
- International Advisory Services - consultancy, development and education
- World-wide coverage with several regional offices



### REAL-TIME

Risk Tracking

### INTERACTIVE

Risk Dashboards

### PROACTIVE

Operational Risk Management

### Features

- Risk self-assessment on all relevant operational risk areas
- Real-time monitoring and alerts through interactive dashboards
- Data integration and analytics
- Automated risk assessment and reporting
- Customizable risk indicators and scenarios
- Various languages

### Impacts

- Improved risk identification and assessment
- Enhanced risk visibility and control
- Increased efficiency and productivity
- Better decision making through data-driven insights
- Compliance with regulatory requirements
- Improved risk culture and governance
- Enhanced reputation and customer trust

### Intended Users

- Risk management and compliance officers
- Internal audit departments
- Bank management and executives
- IT and data management teams
- Business unit heads and operational teams

### Standard BIS Risk Classification

- 1 - Internal Fraud
- 2 - External Fraud
- 3 - Employment Practices & Workplace Safety
- 4 - Clients Products & Business Practices
- 5 - Damage to Physical Assets
- 6 - Business Disruption & System Failures
- 7 - Execution, Delivery & Process Management

### Contact us



Frankfurt School

**FS Risk 360**

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# FS SafeOps\_360

## Operational Risk Management Tool

### Synopsis

FS SafeOps\_360 is designed for MFIs and MSME banks to improve their risk identification and assessment, enhance risk visibility and control, and comply with regulatory requirements. It supports risk self-assessments and allows to capture and assess risk incidents. The integrated dashboard provides real-time monitoring and analytics as well as automated risk assessment and reporting. FS SafeOps\_360 also offers customizable risk indicators and scenarios. By using this tool, financial institutions can improve risk culture and governance, make better decisions through data-driven insights, and enhance reputation and customer trust.

**>> Risk Self-Assessment**

Risk Description & Assessment		Most Affected Asset		Cost/Profitability	
Risk Explanation	Client moved without forwarding address	Impact	Low	Loss	32%
Related Process	Credit	Probability	Medium	Recovery	60%
Related Department	Risk	Weakness	Minor		

Control Environment		Risk Score	
Initial	12	12	
Actual	8	16	
Target	6	11	

**Risk Classification**

Basel Taxonomy Level 1	1 - Internal Fraud
Basel Taxonomy Level 2	1-2 Theft & Fraud by Employee
GOLD Taxonomy Level 3	1-2-1 Theft

**Related Controls**

#	Control	Type	Effect	Mitigation Effect
1	Dual Controls	Preventive	Reduced	75%
2	Reviewing standard operating procedures	Preventive	High	50%
3	Internal Control Unit / Audit	Detective	Partly	

**>> Risk Event Database**

Risk Classification	
Basel Taxonomy Level 1	1 - Internal Fraud
Basel Taxonomy Level 2	1-2 Theft & Fraud by Employee
GOLD Taxonomy Level 3	1-2-1 Theft

**Case References**

Initial Capture through	Staff ID
Discovery Date	04.04.2022
Case Accepted by	John Doe

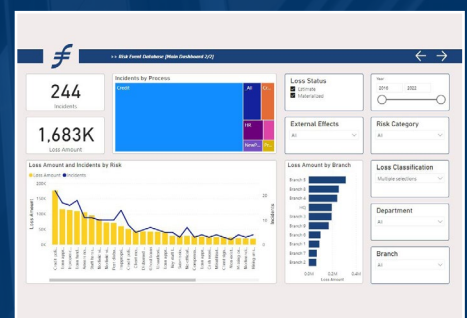
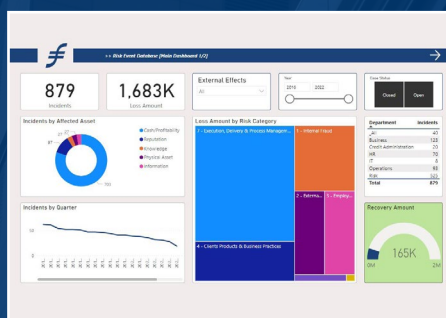
**Case Relation Credit Process**

Related Customer ID	Related Loan ID

**Loss Measurement**

Loss Status	Materialized
Loss Classification	Assets stolen
Total Loss Amount	2,600
Recovery Amount	0
External Effects	No external effects



Check the demo dashboard in [PowerBI](#)

### Methodology

FS SafeOps\_360 is highly customizable and links risk identification with actual incident reporting. Once identified risks can be assigned to processes and/or departments. The tool allows to capture various control and mitigation factors which can be assigned to one or more risks, based on which residual risk heat maps can be created. Risk incidents can be illustrated, summarized, and reported with the help of an extensive, filterable dashboard.

### Implementation

FS SafeOps\_360 provides data-driven insights and real-time monitoring, enabling your institution to make informed risk management decisions and respond quickly to emerging risks. The tool is easy to integrate, as it is built in MS Excel with the support of VBA. The connected interactive dashboard can be viewed and edited in PowerBI. The tool is therefore ready to use and comes with comprehensive instructions.

### Knowledge Transfer

Our tools do not stand alone. As a leading advisor to financial institutions, we strive to internalize knowledge and build sustainable capacity in your organization. All our tools are developed by seasoned industry professionals who have years of experience delivering training. Therefore, Frankfurt School looks forward to supporting you with special, tailor-made training courses on operational risk management.



### Contact us

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FS SafeOps\_360 contributes to:

